# Practitioner's Docket No. <u>U 015536-8</u>

1775
PATENT JAW

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DARRAS L J HANCOCK

Serial No.: 10/517,950

Group No.: 1775

Filed: October 3, 2005

Examiner:: N/A

For:

BENCH GUARD

**Commissioner for Patents** 

P. O. Box 1450

Alexandria, VA 22313-1450

#### **SUBSTITUTE**

Kindly substitute the attached Declaration and Power of Attorney and Statement claiming Small Entity Status with original ink signature for the document previously filed on October 3, 2005.

# CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

envelope addressed to the Assistant Commissioner for Patents, 37 C.F.R. 1.10*
as "Express Mail Post Office to Addressee"
Mailing Label No.
NSMISSION (mandatory)  Signature  Julian H. Cohen  (type or print name of person certifying)

\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Respectfully submitted,

JULIAN H. COHEN LADAS & PARRY LLP 26 WEST 61<sup>ST</sup> STREET NEW YORK, NEW YORK REG.NO.20,302(212)708-1887

# Practitioner's Docket No. <u>U 015536-8</u>

PATENT



Optional Customer No. Bar Code



UUIAU ATENT TRADEMARK OFFI

# COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

# TYPE OF DECLARATION

This declaration is of the following type:

(check one applicable item below)

	[]	original. design.
NOTE:	With the declarate 714.16	he exception of a supplemental oath or declaration submitted in a reissue, a supplemental oath or ation is not treated as an amendment under 37 CFR 1.312 (Amendments after allowance). M.P.E.P. Section i, 7 <sup>th</sup> Ed.
	[]	supplemental.
NOTE:	If the d part ap	eclaration is for an international Application being filed as a divisional, continuation or continuation-in- plication, do <u>not</u> check next item, check appropriate one of last three items.
	[x]	national stage of PCT.
NOTE:	If one of	of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, NUATION OR C-I-P.
NOTE:	deciara	CF.A. V 166 600: I osecui i lication) for use of p or nonprovisional approat nation in the prior application.
	[]	divisional. continuation.
NOTE:	or divisi	an application discloses and claims subject matter not disclosed in the prior application, or a continuation lonal application names an inventor not named in the prior application, a continuation-in-part application filed under 37 C.F.R. Section 1.53(b) (application filing requirements-nonprovisional application).
•	[]	continuation-in-part (C-I-P).

#### INVENTORSHIP IDENTIFICATION

WARNING:

If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

# TITLE OF INVENTION BENCH GUARD SPECIFICATION IDENTIFICATION the specification of which: (complete (a), (b), or (c)) (a) [] is attached hereto. NOTE: "The following combinations of information supplied in an oath or declaration filed on the application filing date with a specification are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the Identification requirement of 37 C.F.R. Section 1.63: (l) name of inventor(s), and reference to an attached specification which is both attached to the oath or" declaration at the time of execution and submitted with the oath or declaration on filing; "(2) name of inventor(s), and attorney docket number which was on the specification as filed; or "(3) name of inventor(s), and title which was on the specification as filed." Notice of July 13, 1995 (1177 O.G. 60). d on \_\_\_\_\_\_(] as Application No. \_\_\_\_\_ **(b)** and was amended on NOTE: Amendments filed after the original papers are deposited with the PTO that contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 C.F.R. Section 1.67. NOTE: "The following combinations of information supplied in an oath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 C.F.R. Section 1.63: (A) application number (consisting of the series code and the serial number, e.g., 08/123,456); serial number and filing date, (C) attorney docket number which was on the specification as filed; (D) title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or tille which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application

which the inventor(s) executed by signing the oath or declaration.

M.P.E.P. § 601.01(a), 7th ed.

(c)	[X]	was described and claimed in PCT International Application No.	AU02/01529
filed on			(if any).

#### SUPPLEMENTAL DECLARATION (37 C.F.R. Section 1.67(b))

(complete the following where a supplemental declaration is being submitted)

	I hereby declare that the subject matter of the	
,	[ ] attached amendment	
	[ ] amendment filed on	

was part of my/our invention and was invented before the filing date of the original application, above identified, for such invention.

#### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56,

(also check the following items, if desired)

- [x] and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and
  - in compliance with this duty, there is attached an information disclosure statement, in accordance with 37 C.F.R. Section 1.98.

#### PRIORITY CLAIM (35 U.S.C. Section 119(a)-(d))

NOTE: 37 C.F.R. § 1.55 Claim for foreign priority.

"(a) An applicant in a nonprovisonal application may claim the benefit of the filing date of one or more prior foreign applications under the conditions specified in 35 U.S.C. 119(a) through (d) and (f), 172, and 365(a) and (b).

(1)(i) In an original application filed under 35 U.S.C. 111(a), the claim for priority must be presented during the pendency of the application, and within the later of four months from the actual filing date of the application or sixteen months from the fling date of the prior foreign application. This time period is not extendable. The claim must identify the foreign application for which priority os claimed, as well as any foreign application for the same subject matter and having a filing date before that of the application for which priority is claimed, by specifying the application number, country (or intellectual property authority), day, month, and year of its filing. The time period in this paragraph does not apply to an application for a design patent.

(ii) In an application that entered the national stage from an international application after compliance with 35 U.S.C. 371, the claim for priority must be made during the pendency of the application and within the time limit set forth in the PCT and the Regulations under the PCT."

(2) The claim for priority and the certified copy of the foreign application specified in 35 U.S.C. 119(b) or PCT Rule 17 must, in any event, be filed before the patent is granted. If the claim for priority or the certified copy of the foreign application is filed after the date the issue fee is paid, it must be accompanied by the processing fee set forth in § 1.17(i), but the patent will not include the priority claim unless corrected by a certificate of correction under 35 U.S.C. 255 and § 1.323.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

# (complete (d) or (e))

- (d) [ ] no such applications have been filed.
- (e) [x] such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

# PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. SECTION 119(a)-(d)

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 USC 119
AUSTRALIA	PS 2983	17 JUNE 2002	[X]YES [ ]NO
AUSTRALIA	2002952207	23 OCTOBER 2002	[X] YES [ ]NO
AUSTRALIA	2002952573	8 NOVEMBER 2002	[X]YES [ ]NO
	·		[]YES []NO
			[]YES []NO

# CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. Section 119(e))

NOTE: 35 U.S.C. 119(e)(1) requires that a nonprovisional application be filed within twelve months of the filing date of the provisional application for the nonprovisional application to claim the benefit of the filing date of the provisional application. Under 35 U.S.C. 21(b) and 119(e)(3), if this twelve-month period expires on a non-business day, it is extended to expire on the next business day.

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

/ISIOI /	FILING DATI	
_/_		
	CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICA' UNDER 35 U.S.C. SECTION 120	TION(S)
[]	The claim for the benefit of any such applications are set forth aDDED PAGES TO COMBINED DECLARATION AND POFOR DIVISIONAL, CONTINUATION OR CONTINUATION APPLICATION.	WER OF ATTO
ATT	FOREIGN APPLICATION(S), <i>IF ANY,</i> FILED MORE THAN (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLIC	1 12 MONTHS

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR C-I-P APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. Section 120.

#### POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

(list name and registration number)

JOSEPH H. HANDELMAN, 26179

JULIAN H. COHEN, 20302

JOHN RICHARDS, 31053

WILLIAM R. EVANS 25858

RICHARD J. STREIT, 25765

JANET I. CORD, 33778

PETER D. GALLOWAY, 27885

CLIFFORD J. MASS, 30086

RICHARD P. BERG, 28145

STEVEN I. WALLACH, 35402

(Check the following item, if applicable)

[X] I hereby appoint the practitioner(s) associated with the Customer Number provided above and below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Customer No. 00140

Attached, as part of this declaration and power of attorney, is the authorization of the above-named practitioner(s) to accept and follow instructions from my representative(s).

NOTE: "Special care should be taken in continuation or divisional applications to ensure that any change of correspondence address in a prior application is reflected in the continuation or divisional application. For example, where a copy of the oath or declaration from the prior application is submitted for a continuation or divisional application filed under 37 CFR 1.53(b) and the copy of the oath or declaration from the prior application designates an old correspondence address, the Office may not recognize, in the continuation or divisional application, the change of correspondence address made during the prosecution of the prior application. Applicant is required to identify the change of correspondence address in the continuation or divisional application to ensure that communications from the Office are mailed to the current correspondence address. 37 CFR 1.63(d)(4)." Section 601.03, M.P.E.P., 7th Ed

#### SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO: (Name and telephone number)

Customer No.:

00140

PATENT TRADEMARK OFFICE

William R. Evans (212) 708-1930

Ladas & Parry LLP 26 West 61st Street New York, N.Y. 10023

(complete the following if applicable)

This is the filing of [ ] continuation [ ] divisional and there is attached hereto a Change of Correspondence Address so that there will be no question as to where the PTO should direct all correspondence.

#### **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

# SIGNATURE(S)

- NOTE: Carefully indicate the family (or last) name, as it should appear on the filing receipt and all other document.
- NOTE: Each inventor must be identified by full name, including the family name, and at least one given name without abbreviation together with any other given name or initial, and by his/her residence, post office address and country of citizenship. 37 C.F.R. Section 1.63(a)(3).
- NOTE: Inventors may execute separate declarations/oaths provided <u>each</u> declaration/oath sets forth all the inventors.

  Section 1.63(a)(3) requires that a declaration/oath, inter alia, identify each inventor and prohibits the execution of separate declarations/oaths which each sets forth only the name of the executing inventor. 62 Fed. Reg. 53,131, 53,142, October 10, 1997,

#### Full name of sole or first inventor

Lau name of sole o	r Hrst inventor	
Darras (Given Name)		HANCOCK Family (Or Last Name,
Inventor's signatur		AANCOLORI
Date (X) 23 0	Ountry of Citi	zenship Australia
Residence Grandch	<b>.</b>	
Post Office Addres	s "Willbara", MS 366, Grandchester,	OLD 4340 Australia
<u>:</u>		
Full name of second	d joint inventor, if any	
(Given Name)	(Middle Initial or Name)	Family (Or Last Name)
	e	
	Country of Citizenship	
		-
		~
Full name of third j	oint inventor, if any	
(Given Name)	(Middle Initial or Name)	Family (Or Last Name)
Inventor's signature		
	•	
Post Office Address		

# (check proper box(es) for any of the following added page(s) that form a part of this declaration)

[]	Signature for fourth and subsequent joint inventors. Number of pages added
	***
[]	Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added
	* * *
[]	Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 C.F.R. Section 1.47. Number of pages added
	* * *
[]	Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time. (37 C.F.R. Section 1.47)
•	* * *
[]	Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.  [ ] Number of pages added
	[ ] Number of pages added
	# # #
[]	Authorization of practitioner(s) to accept and follow instructions from representative.
	(If no further pages form a part of this Declaration, then end this Declaration with this page and check the following item)

Bractitioner's Docket No. <u>U 015536-8</u>	PATENT
€\	
MAY 08 2006 TIN THE UNITED STATES PAT	
IN THE UNITED STATES PAT	ENT AND TRADEMARK OFFICE
[X] In re application of: Darras L.J. HANCOCK	
Application No.:	Group No.:
Filed: DECEMBER 29, 2004	Examiner:
For: BENCH GUARD	Dadillioi.
[ ] *Patent No.:	Larry Date:
[ ] I atent 140,.	Issue Date:
also insert application number and filing date, and	nt Where statement is with respect to a maintenance fee payment, add Box M. Fee to address.  TTY STATUS (37 CFR 1.9(c-f) and 1.27(b-d))
With respect to the invention described in	
[] the specification filed herewith.	·
[x] application no,	filed December 29, 2004
[ ] patent noissued	<u>Dosember 27, 2007</u> .
I DENTIFICATION AND RIGHTS AS  I hereby state that I am	
(complete either (a),	. (b), (c) or (d) below)
(a) Independent Inventor	
[X] a below named independent inventor, as defined in 37	ent inventor, and that I qualify as an independent 7 CFR 1.9(c), for purposes of paying reduced fees b) of Title 35, United States Code, to the Patent and
(b) Noninventor Supporting a Claim by Anoth	er
[ ] making this statement to s	upport a claim by
United States Code. I hereby state that I would quali	uced fees under Sections 41(a) and (b) of Title 35, ify as an independent inventor as defined in 37 CFR ctions 41(a) and (b) of Title 35, United States Code,
	oncern empowered to act on behalf of the concern

Name of Con Address of Co	cern		
13 CFR 121.3 41(a) and (b) of those of its af employees of persons employear, and (2) c	-18, and reprodu of Title 35, Unite filiates, does not the business con oyed on a full-tim oncerns are affili	ced in 37 CFR 1.9(d), for purpose d States Code, in that the number exceed 500 persons. For purposicern is the average over the pree, part-time or temporary basis duates of each other when either, directions.	a small business concern, as defined in s of paying reduced fees under Sections of employees of the concern, including es of this statement, (1) the number of vious fiscal year of the concern of the ring each of the pay periods of the fiscal ectly or indirectly, one concern controls ontrols or has the power to control both.
(d) Non-Profi	it Organization an official em	powered to act on behalf of the ne	onprofit organization identified below:
TYPE OF OR [ ] [ ] . [ ]	Nonprofit Scientification of State (Citation of Sta	entific or Educational Under States atute	atute of State of the United States of  Levenue Service Code (26 USC 501(a) of America  locational Under Statute of State of the ted States of America
			a nonprofit organization, as defined in ions 41(a) and (b) of Title 35, United
I. OWN	ERSHIP OF IN	VENTION BY DECLARANT	
I hereb bove identifie		s under contract or law remain w	rith and/or have been conveyed to the
[X] per item (a) or (b)		[] concern (item (c) above)	[ ] organization (item (d) above)

EXCEPT, that if the rights held are not exclusive, each individual, concern or organization having rights to the invention are held (1) by any person who could not
be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2)
any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a nonprofit organization under 37 CFR 1.9(e).

	[ ] [X]	-	son, concern, or organization cerns or organizations listed below	w*		
*NOTE:	Separat as to the	Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entitles. (37 CFR 1.27)				
Full Na Addres						
	[] [N]	DIVIDUAL	[ ] SMALL BUSINESS CONCER	N [] NONPROFIT ORGANIZATION		
Full Na Address			-			
Addics		DIVIDUAL	[ ] SMALL BUSINESS CONCERT	M I I MONIBROEIT OR CANITA TION		

# III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1:28(b))

# IV. DECLARATION

(check the following item, if desired)

- NOTE: The following verification statement need not be made in accordance with the rules published on October 10, 1997, 62 Fed. Reg. 52131, effective December 1, 1997.
- NOTE: "The presentation to the Office (whether by signing, filing, submitting, or later advocating) of any paper by a party, whether a practitioner or non-practitioner, constitutes a certification under § 10.18(b) of this chapter. Violations of § 10.18(b)(2) of this chapter by a party, whether a practitioner or non-practitioner, may result in the imposition of sanctions under § 10.18(c) of this chapter. Any practitioner violating § 10.18(b) may also be subject to disciplinary action. See §§ 10.18(d) and 10.23(c)(15)." 37 CFR 1.4(d)(2).
- [X] I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

# V. SIGNATURES

(complete only (e) or (f) below)	
(e) NOTE: All inventors must sign the stateme	nt.
Darras L.J. HANCOCK Name of Inventor  (X) MCHS (C) HANCOCK Signature of Inventor	Date: (X) 23 69 2005
Name of Inventor Signature of Inventor	Date:
Name of Inventor	Date:
Signature of Inventor  (add lines for any a	additional inventors who must sign)
	OT .
f) NOTE: The title of the person signing on behalf of a	concern or nonprofit organization should be specified.
Name of Person Signing	
Title of Person(if signing on behalf of a	concern or non-profit organization)
Address of Person Signing	
IGNATURE	DATE